

Twisted Hilbert Space of 3d Supersymmetric Gauge Theories

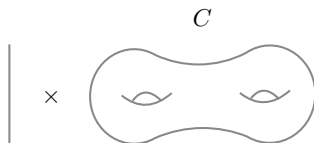
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Introduction 1

I will talk about quantum field theories with 3d $\mathcal{N} = 2$ supersymmetry and an unbroken R-symmetry.



- ▶ Perform topological twist on C using R-symmetry.
- ▶ Supersymmetry algebra

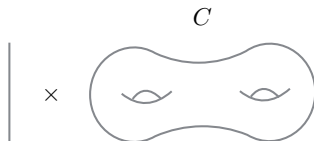
$$\{Q, \bar{Q}\} = H - m_f \cdot J_f$$

where H is hamiltonian and J_f is flavour charge.

- ▶ m_f is real mass parameter for flavour symmetry.

Introduction

I want to explain how to compute the 'twisted Hilbert space' \mathcal{H} of supersymmetric ground states annihilated by Q, \bar{Q} .



- ▶ The supersymmetric ground states are graded by $(-1)^F$ and J_f .
- ▶ It should reproduce the twisted index on $S^1 \times C$,

$$I = \text{Tr}_{\mathcal{H}} (-1)^F x^{J_f}.$$

- ▶ The latter can be computed by supersymmetric localisation.¹

¹Benini-Zaffaroni², Closet-Kim

Introduction

Why is the twisted Hilbert space is a richer observable?

1. There may be cancelations when computing the trace,

$$\bigoplus_{j=0}^g \wedge^j (\mathbb{C}^g) \longrightarrow \sum_{j=1}^g (-1)^j \binom{g}{j} = 0.$$

2. The supersymmetric ground states may exhibit 'wall-crossing'

$$\mathcal{H} = \begin{cases} \bigoplus_{j=0}^{\infty} x^j \mathbb{C} & |x| < 1 \\ - \bigoplus_{j=0}^{\infty} x^{-j-1} \mathbb{C} & |x| > 1 \end{cases},$$

whereas the supersymmetric index does not

$$\rightarrow \begin{cases} \sum_{j=0}^{\infty} x^j & |x| < 1 \\ - \sum_{j=0}^{\infty} x^{-j-1} & |x| > 1 \end{cases} = \frac{1}{1-x}.$$

Introduction

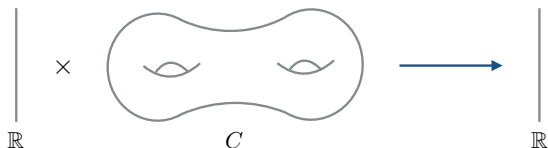
3. Turn on a superpotential $W(u)$ depending on complex parameters u .
 - ▶ The twisted index is independent of u .
 - ▶ The twisted Hilbert space is a holomorphic sheaf on the parameter space of u 's.
4. Turn on holomorphic line bundle for a $U(1)$ flavour symmetry on C .
 - ▶ The twisted index depends only on the flux

$$\frac{1}{2\pi} \int_C F = \mathfrak{m} \in \mathbb{Z}$$

- ▶ The twisted Hilbert space is a holomorphic sheaf on the parameter space $\text{Pic}^{\mathfrak{m}}(C)$.

Strategy

Introduce an 'effective' supersymmetric quantum mechanics that exactly captures supersymmetric ground states.



The supersymmetric quantum mechanics is of type $\mathcal{N} = (0, 2)$.

- ▶ Part 1: Supersymmetric Quantum Mechanics.
- ▶ Part 2: Three-dimensional $\mathcal{N} = 2$ Theories.

Part 1: Supersymmetric Quantum Mechanics

Supersymmetry Algebra

The supersymmetry algebra is

$$\{Q, Q\} = 0$$

$$\{Q, \bar{Q}\} = H - m_f \cdot J_f$$

$$\{\bar{Q}, \bar{Q}\} = 0.$$

- ▶ Flavour symmetry G_f with charge operator J_f .
- ▶ Real mass parameter $m_f \in \mathfrak{t}_f$.

Hilbert space of supersymmetric ground states \mathcal{H} ,

$$Q|\psi\rangle = 0 \quad \bar{Q}|\psi\rangle = 0.$$

- ▶ Graded by fermion number $(-1)^F$ and flavour charge J_f .
- ▶ If spectrum is gapped, equivalent to cohomology of \bar{Q} .

Chiral Multiplet

Chiral multiplet (ϕ, ψ) with flavour symmetry $G_f = U(1)$ and associated mass parameter $m_f \in \mathbb{R}$.

$$Q = \psi \left(-\frac{\partial}{\partial \phi} + m_f \bar{\phi} \right) \quad \bar{Q} = \bar{\psi} \left(+\frac{\partial}{\partial \bar{\phi}} + m_f \phi \right).$$

- ▶ The supercharges and $H - m_f J_f$ are unambiguous.
- ▶ There is a normal ordering ambiguity,

$$H \rightarrow H + \alpha m \quad J_f \rightarrow J_f + \alpha.$$

- ▶ This is choice of background supersymmetric Chern-Simons term for $G_f = U(1)$ flavour symmetry.

Chiral Multiplet: Hilbert Space

The supersymmetric ground states are

$$\begin{array}{ccc}
 \mathfrak{c}_- & \bullet & \mathfrak{c}_+ \\
 \hline
 m_f < 0 & & m_f > 0 \\
 \\
 e^{m_f |\phi|^2} \bar{\phi}^j \bar{\psi} & & e^{-m_f |\phi|^2} \phi^j
 \end{array}$$

- ▶ Introduce parameter $x \in \mathbb{C}^*$ to keep track of flavour charge.
- ▶ The supersymmetric ground states depends on the chamber,

$$\mathcal{H} = \begin{cases} x^{\alpha + \frac{1}{2}} \bigoplus_{j=0}^{\infty} x^j \mathbb{C} & m_f > 0 \\ -x^{\alpha - \frac{1}{2}} \bigoplus_{j=0}^{\infty} x^{-j} \mathbb{C} & m_f < 0 \end{cases} .$$

- ▶ Wall-crossing at $m_f = 0$ where spectrum not gapped.

Chiral Multiplet: Index

The supersymmetric index is

$$I = \begin{cases} x^{\alpha+\frac{1}{2}} \sum_{j=0}^{\infty} x^j & m_f > 0 \\ -x^{\alpha-\frac{1}{2}} \sum_{j=0}^{\infty} x^{-j} & m_f < 0 \end{cases} .$$

- ▶ Path integral construction identifies $x = e^{-2\pi\beta(m_f+iA_f)}$.
- ▶ These are expansions of the same rational function

$$\frac{x^{\alpha+\frac{1}{2}}}{1-x}$$

in each chamber.

- ▶ Simple pole at $m_f = 0$ where spectrum not gapped.

Gauge Theory

Example ²:

- ▶ $U(1)$ vectormultiplet $(A_\tau, \sigma, \lambda, \bar{\lambda}, D)$.
- ▶ N chiral multiplets (ϕ_1, \dots, ϕ_N) of charge $+1$.
- ▶ Real FI parameter $\zeta > 0$: $L_{\text{FI}} = -\zeta D$.
- ▶ Supersymmetric Wilson line of charge q : $L_{\text{WL}} = q(A_\tau + \sigma)$.

Global anomaly cancellation: $q - \frac{N}{2} \in \mathbb{Z}$. (I will assume $q - \frac{N}{2} \geq 0$.)

Flavour symmetry $G_f = PSU(N)$.

Gauge Theory: Sigma Model Description

Classical potential:
$$U = \sum_j |\sigma \phi_j|^2 + \frac{e^2}{2} \left(\sum_j |\phi_j|^2 - \zeta \right)^2 .$$

Supersymmetric ground states captured by a sigma model to

$$M = \left\{ \sum_{j=1}^N |\phi_j|^2 = \zeta \right\} / U(1) = \mathbb{C}\mathbb{P}^{N-1} .$$

- ▶ Supersymmetric Wilson line generates line bundle $\mathcal{O}(q)$.
- ▶ Quantization of fermions contributes $K_M^{1/2} = \mathcal{O}(-\frac{N}{2})$.
- ▶ Combination $F = \mathcal{O}(q - \frac{N}{2})$.

The wavefunctions are smooth sections of

$$\Omega^{0,*}(M) \otimes F \quad \langle \alpha, \beta \rangle = \int \bar{\alpha} \wedge * \beta .$$

Gauge Theory: Hilbert Space

Turning on mass parameters $m_f = (m_1, \dots, m_N)$,

$$Q = e^{h_f} \bar{\partial}^\dagger e^{-h_f} \quad \bar{Q} = e^{-h_f} \bar{\partial} e^{h_f}$$

where $h_f = m_f \cdot \mu_f$ is moment map for infinitesimal $G_f = PSU(N)$ transformation generated by m_f .

- ▶ Spectrum is always gapped as target space compact.
- ▶ Setting $m_f = 0$ find symmetric tensor representation of G_f ,

$$\begin{aligned} \mathcal{H} &= H_{\bar{\partial}}^{0, \bullet}(M, F) \\ &= S^{q - \frac{N}{2}}(x_1 \mathbb{C} \oplus \dots \oplus x_N \mathbb{C}). \end{aligned}$$

- ▶ Supersymmetric index is character of this representation,

$$I = \chi_{S^{q - \frac{N}{2}} \mathbb{C}^N}(x_1, \dots, x_N).$$

Geometric Model

A massive supersymmetric sigma model specified by:

- ▶ A Kähler manifold M with isometry group G_f .
- ▶ A G_f -equivariant \mathbb{Z}_2 -graded hermitian vector bundle F with odd differential $\delta : F \rightarrow F$.
- ▶ Real mass parameters $m_f \in \mathfrak{t}_f$.

The wavefunctions are smooth sections of

$$\Omega^{0,\bullet}(M) \otimes F$$

with hermitian inner product

$$\langle \alpha, \beta \rangle = \int_{\mathcal{M}} \bar{\alpha} \wedge * \beta.$$

Geometric Model

Supercharges are conjugated Dolbeault operators,

$$Q = e^{h_f} \bar{\partial}_F^\dagger e^{-h_f} + \delta^\dagger$$

$$\bar{Q} = e^{-h_f} \bar{\partial}_F e^{h_f} + \delta.$$

where $h = m_f \cdot \mu_f$ is moment map for infinitesimal G_f transformation generated by m_f .

- ▶ Supersymmetric ground states,

$$\mathcal{H} = H_{\bar{Q}}^{0, \bullet}(M, F).$$

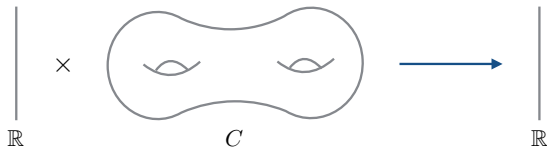
- ▶ If M is compact, spectrum is always gapped and \mathcal{H} independent of $m_f \in \mathfrak{t}_f$.
- ▶ If M is non-compact, \mathcal{H} exhibits 'wall-crossing' across loci where fixed point set of $m_f \in \mathfrak{t}_f$ is not-compact and spectrum not gapped.

Part 2: Supersymmetric Theories in Three Dimensions

3d $\mathcal{N} = 2$ Supersymmetry

Supersymmetry algebra,

$$\{Q_\alpha, \bar{Q}_\beta\} = P_{\alpha\beta} + (m_f \cdot J_f)\epsilon_{\alpha\beta}.$$



- ▶ Topological twist on C using $U(1)$ R-symmetry.
- ▶ Preserves supersymmetric quantum mechanics of type $\mathcal{N} = (0, 2)$,

$$\{Q, \bar{Q}\} = H - m_f \cdot J_f.$$

Chiral Multiplet

Chiral multiplet (ϕ, ψ_α, F) of R -charge r .

Decompose into supersymmetric quantum mechanics multiplets

- ▶ Chiral multiplet (ϕ, ψ) in section of $K_C^{r/2} \otimes L_f$
- ▶ Fermi multiplet (η, F) in $(0, 1)$ -form section of $K_C^{r/2} \otimes L_f$

where L_f is a holomorphic line bundle of degree m_f on C associated to $U(1)_f$ flavour symmetry.

An E -term superpotential $E = \bar{D}\phi$ generates kinetic terms along C ,

$$|E|^2 = \int_C \|\bar{D}\phi\|^2 \quad \bar{\eta} \frac{\partial E}{\partial \phi} \psi = \int_C \bar{\eta} \wedge \bar{D}\psi.$$

Chiral Multiplet: Hilbert Space

Minimize classical potential: $\bar{D}\phi = 0$.

Fluctuations:

- ▶ Chiral multiplets in $H^0(K_C^{r/2} \otimes L_f)$: $\phi_1, \dots, \phi_{n_C}$
- ▶ Fermi multiplets in $H^1(K_C^{r/2} \otimes L_f)$: $\eta_1, \dots, \eta_{n_F}$
- ▶ Riemann-Roch: $n_C - n_F = (r-1)(g-1) + \mathfrak{m}_f$

Quantizing in the chamber $m_f > 0$, we find

$$\mathcal{H} = x^{\frac{n_C - n_F}{2}} \bigoplus_{j=0}^{\infty} x^j \bigoplus_{p+q=j} S^p(\mathbb{C}^{n_C}) \otimes \wedge^q(\mathbb{C}^{n_F}).$$

- ▶ Depends on L_f through individual numbers n_C and n_F .
- ▶ (Can be promoted to sheaf of graded vector spaces on parameter space $\text{Pic}^{m_f}(C)$ of L_f .)

Chiral Multiplet: Index

The twisted supersymmetric index is computed from trace,

$$\begin{aligned} I &= x^{\frac{n_C - n_F}{2}} \bigoplus_{j=0}^{\infty} x^j \binom{n_C - n_F + j - 1}{n_C - n_F} \\ &= \left(\frac{x^{1/2}}{1 - x} \right)^{n_C - n_F}, \end{aligned}$$

in agreement with 1-loop determinant from supersymmetric localisation.³

- ▶ Twisted supersymmetric index depends only on the difference

$$n_C - n_F = (r - 1)(g - 1) + \mathfrak{m}_f.$$

- ▶ It is therefore constant as L_f varies in parameter space $\text{Pic}^{\mathfrak{m}_f}(C)$.

³[Benini-Zaffaroni², Closset-Kim]

Vectormultiplet

Three-dimensional vectormultiplet decomposes into the following 1d $\mathcal{N} = (0, 2)$ supermultiplets:

- ▶ A vectormultiplet for the group of gauge transformations $g : C \rightarrow U(1)$ with auxiliary field $D_{1d} = D + *_C F$.
- ▶ An adjoint chiral multiplet with complex scalar $\bar{D}_{\bar{z}}$.

In addition:

- ▶ A 3d FI parameter ζ contributes

$$-\zeta \int_C D = -\zeta \int_C D_{1d} + 2\pi\zeta \mathfrak{m}$$

- ▶ A 3d CS term contributes a supersymmetric Wilson line

$$\frac{k}{2\pi} \int_C (\sigma + iA_\tau) F$$

Example: $U(1)_{1/2} + 1$ Chiral

Consider the following model:

- ▶ $U(1)$ supersymmetric Chern-Simons theory at level $+\frac{1}{2}$
- ▶ Chiral multiplet ϕ of charge $+1$ and R -charge $+1$

$U(1)_T$ topological flavour symmetry.

	$U(1)$	$U(1)_T$	$U(1)_R$
ϕ	$+1$	0	$+1$
T	0	$+1$	0

(This is mirror to single chiral multiplet - the monopole operator T .)

Important

This theory has only 'Higgs branch' vacua.

Sigma Model Description

The supersymmetric quantum mechanics has potential

$$\begin{aligned} U &= \int_C \|\bar{D}\phi\|^2 \\ &+ \int_C \left\| \frac{1}{e_{eff}^2} * F + |\phi|^2 - \frac{1}{2\pi} \xi_{eff}(\sigma) \right\|^2 \\ &+ \int_C \|\sigma\phi\|^2 \end{aligned}$$

where

$$\xi_{eff}(\sigma) = \begin{cases} \zeta + \sigma & \sigma > 0 \\ \zeta & \sigma < 0 \end{cases}.$$

The potential is minimized by 'Higgs branch' vortices on C ,

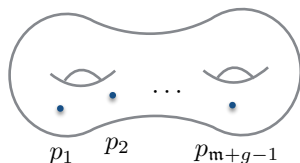
$$\frac{1}{e_{eff}^2} * F + |\phi|^2 = \zeta \quad \bar{D}\phi = 0 \quad \sigma = 0.$$

Moduli Space

Let \mathcal{M}_m denote the moduli space of solutions with flux m .

This has an algebraic description:

- ▶ A holomorphic line bundle L of degree m .
- ▶ A non-vanishing section $\phi \in H^0(K_C^{1/2} \otimes L)$.



We can parametrise moduli space by effective divisor of ϕ :

$$\mathcal{M}_m = \begin{cases} \text{Sym}^{m+g-1} C & \text{if } m \geq 1 - g \\ \emptyset & \text{if } m < 1 - g. \end{cases}$$

Hilbert Space

Supersymmetric ground states captured by a supersymmetric quantum mechanics to \mathcal{M}_m ,

$$\mathcal{H} = \bigoplus_{m \geq 1-g} H_{\bar{\partial}}^{0, \bullet}(\mathcal{M}_m, \mathcal{F}_m).$$

The holomorphic line bundle \mathcal{F} receives contributions from:

- ▶ Fermion fluctuations: $K_{\mathcal{M}_m}^{1/2}$.
- ▶ Supersymmetric Chern-Simons term: $K_{\mathcal{M}_m}^{-1/2}$.
- ▶ A holomorphic line bundle L_T on C for the flavour topological symmetry is an ‘electric impurity’: it induces a line bundle \tilde{L}_T on the vortex moduli space \mathcal{M}_m .

Hilbert Space

In the absence of L_T , the space of supersymmetric vacua is

$$\begin{aligned}\mathcal{H} &= \bigoplus_{m \geq 1-g} x^m H_{\bar{\partial}}^{0, \bullet}(\mathcal{M}_m) \\ &= \bigoplus_{m \geq 1-g} x^m \bigoplus_{j=0}^{m+g-1} \wedge^j(\mathbb{C}^g).\end{aligned}$$

- ▶ The cohomology of a symmetric product is an exterior algebra

$$H^{0,j}(\mathcal{M}_m) = \wedge^j(\mathbb{C}^g).$$

- ▶ The generators are inherited from the curve, $H_{\bar{\partial}}^{0,1}(C) = \mathbb{C}^g$.
- ▶ There an infinite number of supersymmetric ground states!

Index

The twisted supersymmetric index truncates to a finite Laurent polynomial,

$$I = \sum_{m \geq 1-g} x^m \sum_{q=0}^{m+g-1} (-1)^q \binom{g}{q} = x^{1-g} (1-x)^{g-1}.$$

- ▶ Supersymmetric ground states with $m > 0$ cancel out.
- ▶ This coincides with the contour integral from supersymmetric localization ⁴,

$$I = \sum_{m \in \mathbb{Z}} (-x)^m \int_{\Gamma} \frac{dz}{z} \frac{z^m}{(1-z)^{m+g}}.$$

- ▶ Localisation formula reinterpreted as Hirzebruch-Riemann-Roch for holomorphic Euler character, $\chi(\mathcal{M}_m)$.

⁴Benini-Zaffaroni

Mirror Symmetry

Consider the following mirror pair:

- ▶ $U(1)_{1/2} + 1$ Chiral.
- ▶ 1 Chiral + mixed supersymmetric Chern-Simons terms
 $k_{ff} = k_{Rf} = -\frac{1}{2}$.

The supersymmetric ground states match,

$$\mathcal{H} = x^{1-g} \bigoplus_{j \geq 0} x^j \bigoplus_{q=0}^j \wedge^q(\mathbb{C}^g).$$

- ▶ This is a stronger check than the supersymmetric the twisted index!
- ▶ (Introducing a line bundle for $U(1)$ flavour symmetry, agreement of sheaves of graded vector spaces on parameter space $\text{Pic}(C)$.)

General Structure

For a $U(1)$ supersymmetric gauge theory with only 'Higgs branch' vacua,

$$\mathcal{H} = \bigoplus_{\mathfrak{m} \in \mathbb{Z}} H_{\bar{Q}}^{0, \bullet}(\mathcal{M}_{\mathfrak{m}}, \mathcal{F}_{\mathfrak{m}})$$

- ▶ $\mathcal{M}_{\mathfrak{m}}$ = moduli space of vortex equations on C with flux \mathfrak{m} .
- ▶ $\mathcal{F}_{\mathfrak{m}}$ = \mathbb{Z}_2 -graded G_f -equivariant vector bundle with contributions from fermions, Chern-Simons terms and line bundles for topological symmetries.
- ▶ $\delta_{\mathfrak{m}} : \mathcal{F}_{\mathfrak{m}} \rightarrow \mathcal{F}_{\mathfrak{m}}$ is odd differential from 3d superpotential.
- ▶ The supercharge is

$$\bar{Q} = e^{-h_f} \bar{\partial}_{\mathcal{F}_{\mathfrak{m}}} e^{h_f} + \delta_{\mathfrak{m}}$$

where $h = m_f \cdot \mu_f$ is the moment map for the infinitesimal G_f transformation generated by mass parameters m_f .

Future Directions

- ▶ Theories with 'topological vacua' require further analysis!
- ▶ Enumeration and action of local operators in supersymmetric quantum mechanics.
- ▶ Inclusion of supersymmetric line operators.
- ▶ States defined by boundary conditions / interfaces.
- ▶ $\mathcal{N} = 4$ theories and connections to conformal blocks for vertex operator algebras? ⁵
- ▶ Action of $SL(2, \mathbb{Z})$ on twisted Hilbert spaces of theories with $U(1)$ flavour symmetry? ⁶
- ▶ A homological version of the 3d-3d correspondence? ⁷

⁵Gaiotto, Gaiotto-Kostello

⁶Witten

⁷Gukov-Putrov-Vafa

Thank you for listening!

Questions?