

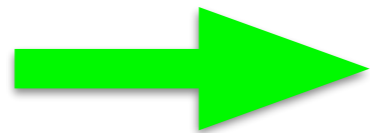
Exact results in SUSY Spin(7) theories

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Based on K. Nii [JHEP05\(2018\)017](#)

Motivations 1

- asymptotically-free gauge theories (IR strong)

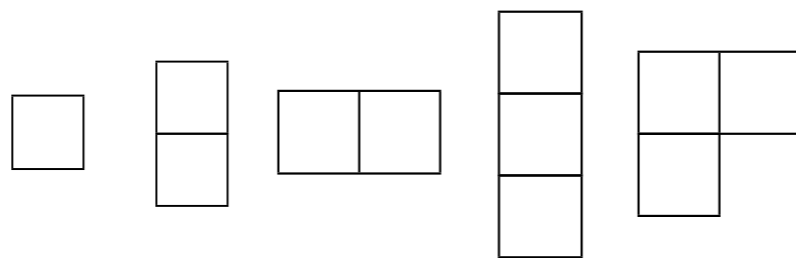


various (low-energy) phases

confinement, Higgs, Coulomb and etc...

- Phases depend on:

temperature, space-time dimensions, matter contents N_f (Number of flavors), representations of matters:



gauge groups: (S)U, (S)O, USp, Spin, Pin,

Exceptionals...

← Today

Motivations 2

- To study strongly-coupled or non-perturbative regime with **power of SUSY (holomorphy)** → analytically extract exact results!

- Recent developments about 3d theories

3d & 4d physics are similar, but in some sense “3d” is more richer.

Chern-Simons terms, non-trivial U(1) dynamics and bosonization

Motivations 3

- Many 3d dualities were found now: Seiberg-like, CS-type, non-SUSY (bosonization)
- Check of the 3d dualities/ analysis of the low-energy physics \rightarrow (mapping of) **monopole operators (Coulomb branch operators)**
- difficult $\cdots \rightarrow$ power of supersymmetry

Today's topic

- 3d N=2 SUSY **Spin(7)** theories and their low-energy dynamics, especially “confinement”
- First non-trivial case of Spin(N)
- **vector** & **spinorial** representations
- 3d/4d relation

Plan of the talk

1. Introduction to 3d $N=2$ SUSY gauge theories
2. Spin(7) analysis in 3d
3. Some checks of our analysis (3d/4d)

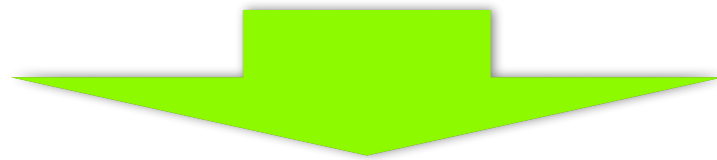
3d $N=2$ SUSY gauge theories

[Aharony-Hanany-Intriligator-Seiberg-Strassler '97]

3d gauge theories

- 3d gauge coupling is super-renormalizable and relevant.

$$[g^2] = M \quad \hat{g}^2 := \frac{g^2}{E} \xrightarrow{E \rightarrow 0} \infty$$



3d U(1) dynamics is also “IR strong” and non-trivial.

ex. 3d “compact” U(1) QED shows confinement and chiral symmetry breaking.

Along the Coulomb branch, we have U(1) gauge theories which are still non-trivial.

3d N=2 theories

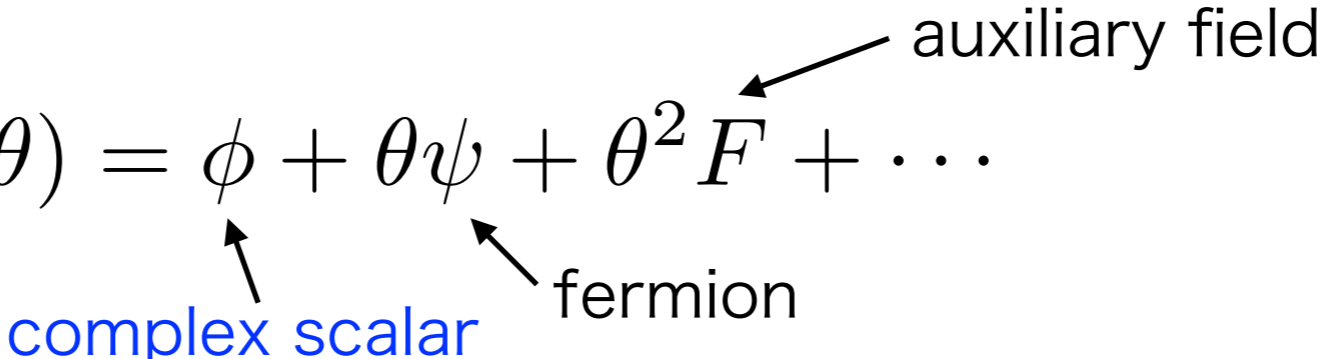
[Aharony-Hanany-Intriligator-Seiberg-Strassler '97]

[de Boer-Hori-Oz '97]

- 3d UV Lagrangian = simple dimensional reduction of 4d N=1 SUSY

Chiral Superfield

$$\Phi(x, \theta) = \phi + \theta\psi + \theta^2 F + \dots$$



This gives us flat directions (Higgs branch of the moduli space).

Spin(7) cases

$S(x, \theta)$: 8 dimensional spinor rep. in Spin(7)

$Q(x, \theta)$: 7 dimensional vector rep. in Spin(7)

We need various gauge invariants.

$$M_{SS} := SS$$

$$M_{QQ} := QQ$$

⋮

3d N=2 theories

[Aharony-Hanany-Intriligator-Seiberg-Strassler '97]

[de Boer-Hori-Oz '97]

Vector superfield

$$V(x, \theta, \bar{\theta}) = \theta \sigma^\mu \bar{\theta} A_\mu + \theta \sigma^3 \bar{\theta} A_3 + (\text{gaugino}) + \dots \quad \mu = 0, 1, 2$$

↑
adjoint scalars (Coulomb branch of moduli)

$$G \xrightarrow{\langle A_3 \rangle \neq 0} U(1)^r \quad \longrightarrow \quad \text{non-trivial } U(1) \text{ dynamics}$$

- 3d photon is dual to a scalar

$$\sigma := A_3$$

two real scalars

$$\partial_\mu a := \epsilon_{\mu\nu\rho} F^{\nu\rho}$$

= one complex scalar

$$V_i = \exp(\sigma_i + i a_i) \quad (i = 1, \dots, r)$$

$$\phi_{adj} = \phi^i H_i$$

Classically, there are $r = \text{rank } G$ CB coordinates.

Low-energy dynamics

UV Lagrangian

$$\mathcal{L} = \int d^4\theta \bar{\Phi} e^V \Phi + \int d^2\theta W_\alpha W^\alpha + \text{h.c.}$$

Matter action gauge action

- (quantum) flat directions (massless modes) ?
- Non-perturbative effects ?
- Exact superpotential ? $\mathcal{L}_{eff} = \int d^2\theta W_{eff}(\Phi)$
- Correct Coulomb branch operators (monopole operators) ?

There are two branches of moduli space: Higgs (meson, baryon) & Coulomb
Their interactions, low-energy superpotential for these massless modes?

Classical vs Quantum pictures?

Monopoles

- Along the Coulomb branch, we have a **compact** $U(1)$.
- Theory admits monopoles (in 3d, these are instantons)

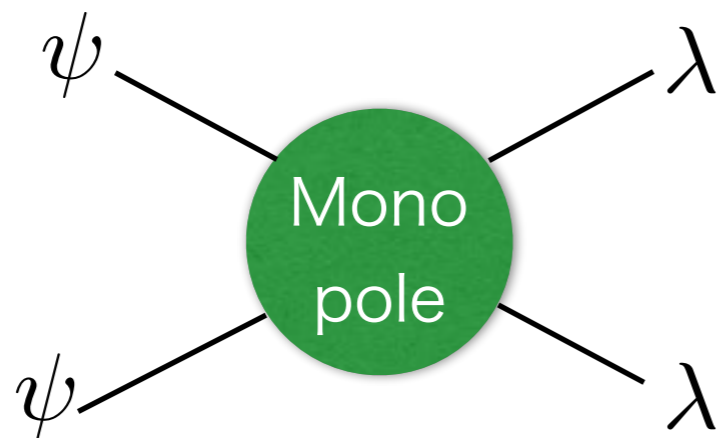
$$Spin(7) \rightarrow U(1)^3$$

3 fundamental monopoles

- 3-dimensional classical Coulomb branch
- Monopole generates non-perturbative effects and modifies the classical Coulomb branch drastically.

Monopole effects

Monopole(instanton) looks like a vertex for fermions.



$$\sim \lambda^2 \psi^2 e^{-S_{\text{on-shell}}}$$

If the monopole-vertex has two fermions, the non-perturbative effects appear in the superpotential.

$$\mathcal{L} \ni \int d^2\theta W_{\text{superpotential}} \ni \bar{\psi}\chi\phi^\#$$

Fate of Coulomb branch in pure SYM

$Spin(7)$ has rank 3. Its CB is **classically** 3-dimensional.

$$Spin(7) \xrightarrow{Y_1, Y_2, Y_3} U(1)^3$$

Fermion zero-modes from gauginos

CB operator	gaugino
$Y_1 = \exp[\sigma_1 - \sigma_2]$	2
$Y_2 = \exp[\sigma_2 - \sigma_3]$	2
$Y_3 = \exp[2\sigma_3]$	2

σ_i : Adjoint scalars

Without matter, only gaugino contributes.

$$W = \sum_i \frac{1}{Y_i}$$

All the flat directions are lifted (no SUSY vacuum).

3d Spin(7) with spinor matters

- Fermion zero-modes

CB operator	gaugino	spinor	
$Y_1 = \exp[\sigma_1 - \sigma_2]$	2	$1 + \text{sign}(\sigma_1 - \sigma_2 - \sigma_3)$	$\sigma_1 \geq \sigma_2 \geq \sigma_3 \geq 0$
$Y_2 = \exp[\sigma_2 - \sigma_3]$	2	0	
$Y_3 = \exp[2\sigma_3]$	2	$1 - \text{sign}(\sigma_1 - \sigma_2 - \sigma_3)$	

Y_2 is lifted. Y_1 (or Y_3) is lifted depending on the sign.

Globally, we need **one coordinate** for the Coulomb branch.

$$W = \frac{1}{Y_2} + \begin{cases} \frac{1}{Y_3} & (\sigma_1 > \sigma_2 + \sigma_3) \\ \frac{1}{Y_1} & (\sigma_1 < \sigma_2 + \sigma_3) \end{cases}$$

For all the regions, the quantum CB is described by $Z := Y_1 Y_2^2 Y_3$
 $\sim \exp[\sigma_1 + \sigma_2]$

Exact superpotentials: Spin(7) with N_S spinors

Matter contents and moduli coordinates

	$Spin(7)$	$SU(N_S)$	$U(1)_S$	$U(1)_R$	
S	$2^N = 8$	\square	1	R_S	← Matter contents
λ	Adj.	1	0	1	
$M_{SS} := SS$	1	$\begin{array}{ c } \hline \square \\ \hline \end{array}$	2	$2R_S$	← Higgs branch coordinates
$B_S := S^4$	1	$\begin{array}{ c } \hline \square \\ \square \\ \square \\ \square \\ \hline \end{array}$	4	$4R_S$	
$Z := Y_1 Y_2^2 Y_3$	1	1	$-2N_S$	$-8 - 2N_S(R_S - 1)$	← Coulomb branch

$$W_{N_S \leq 3} = \left(\frac{1}{Z \det M_{SS}} \right)^{\frac{1}{4-N_S}}$$

$$W_{N_S=4} = X [Z(\det M_{SS} - B_S^2) - 1]$$

$$W_{N_S=5} = Z (\det M_{SS} - B_S^i B_S^j M_{SS,ij})$$

X: Lagrange multiplier

Exact superpotentials: Spin(7) with N_S spinors

$$W_{N_S \leq 3} = \left(\frac{1}{Z \det M_{SS}} \right)^{\frac{1}{4-N_S}} \longrightarrow \text{Runaway potential (no SUSY vacuum)}$$

$$W_{N_S=4} = X [Z(\det M_{SS} - B_S^2) - 1]$$

$$\longrightarrow Z(\det M_{SS} - B_S^2) = 1$$

Large vev of CB corresponds to small vevs of HB.
moduli space is highly deformed.

$$W_{N_S=5} = Z (\det M_{SS} - B_S^i B_S^j M_{SS,ij})$$

$$\longrightarrow \text{S-confinement:}$$

meson M , baryon B and a single gauge singlet Z

At the origin of moduli space, there is no symmetry breaking.

Nf vectors and Ns spinors

- Spin(7) allows **vector** and **spinor** representations. These zero-modes are

	adjoint	vector	spinor
Y_1	2	0	$1 + \text{sign}(\phi_1 - \phi_3)$
Y_2	2	0	0
Y_3	2	2	$1 - \text{sign}(\phi_1 - \phi_3)$

Y_2 is still lifted while Y_3 is not. Y_1 depends on the sign.

$$W = \frac{1}{Y_2} + \begin{cases} 0 & (\phi_1 > \phi_3) \\ \frac{1}{Y_1} & (\phi_1 < \phi_3) \end{cases}$$

One can generally expect two-dimensional CB.

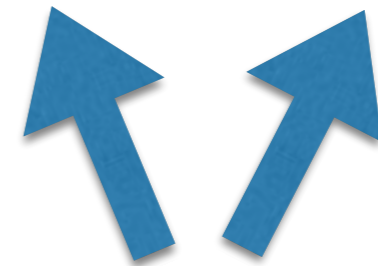
$$Z := Y_1 Y_2^2 Y_3$$

$$Y_{spin} := Y_1^2 Y_2^2 Y_3 \quad (\phi_1 > \phi_3)$$

S-confinement for (N_f, N_s)

We find s-confinement phases for

$$(N_f, N_s) = (0, 5), (1, 4), (2, 3), (3, 2), (4, 1), (6, 0)$$



Today

3 vectors & 2 spinors

	$Spin(7)$	$SU(3)$	$SU(2)$	$U(1)_Q$	$U(1)_S$	$U(1)_R$	
Q	\square	\square	1	1	0	R_f	← matter contents
S	$2^N = 8$	1	\square	0	1	R_S	
λ	Adj.	1	1	0	0	1	
$M_{QQ} := QQ$	1	$\square\square$	1	2	0	$2R_f$	← Higgs branch coordinates
$M_{SS} := SS$	1	1	$\square\square$	0	2	$2R_S$	
$P_{S,3} := SQ^3S$	1	1	$\square\square$	3	2	$3R_f + 2R_S$	
$P_{A,1} := SQS$	1	\square	1	1	2	$R_f + 2R_S$	
$P_{A,2} := SQ^2S$	1	$\bar{\square}$	1	2	2	$2R_f + 2R_S$	
$Z := Y_1 Y_2^2 Y_3$	1	1	1	-6	-4	$-8 - 6(R_f - 1) - 4(R_S - 1)$	
Y_{spin} for $\phi_1 \geq \phi_3$	1	1	1	-3	-4	$-5 - 3(R_f - 1) - 4(R_S - 1) = 2 - 3R_f - 4R_S$	

The theory is s-confining and described by gauge singlets and the following superpotential.

$$W = Z \left(\det M_{QQ} \det M_{SS} - \det P_{S,3} + P_{A,2}^2 M_{QQ} - \frac{1}{2} P_{A,1}^2 M_{QQ}^2 \right) + Y_{spin} (P_{A,1} P_{A,2} - M_{SS} P_{S,3})$$



Vectors and one spinor: $(N_f, N_s) = (4, 1)$

	$Spin(7)$	$SU(4)_Q$	$U(1)_Q$	$U(1)_S$	$U(1)_R$
Q	7	\square	1	0	R_f
S	8	1	0	1	R_S
$\eta = \Lambda_{N_f, N_s}^b$	1	1	$2N_f$	$2N_s$	$2N_f(R_f - 1) + 2N_s(R_S - 1) + 10$
$M_{QQ} := QQ$	1	$\square\square$	2	0	$2R_f$
$M_{SS} := SS$	1	1	0	2	$2R_S$
$P := SQ^3S$	1	$\bar{\square}$	3	2	$3R_f + 2R_S$
$R := SQ^4S$	1	1	4	2	$4R_f + 2R_S$
$Z := Y_1 Y_2^2 Y_3$	1	1	-8	-2	$-8 - 2(R_S - 1) - 8(R_f - 1) = 2 - 8R_f - 2R_S$
$Y_{spin} := Y_1^2 Y_2^2 Y_3$	1	1	-8	-4	$-10 - 8(R_f - 1) - 4(R_S - 1)$

← matter contents


← gauge invariants of the Higgs branch

- Semi-classically, two Coulomb branch operators are necessary: Z & Y
- But we find a quantum identification between them:

$$Z \sim Y_{spin} M_{SS}$$

$$W = Y_{spin} [M_{SS}^2 \det M_{QQ} + P^2 M_{QQ} - R^2]$$

Consistency checks

- Coincide with semi-classical analysis of the moduli space: okay
- Up lift to 4d $N=1$ SUSY gauge theories  I will show this
- Flow to 3d $N=2$ $G_2/SU(3)/Spin(N < 7)$ gauge theories: okay
- Parity anomaly matching: okay
- Superconformal indices: consistent on both sides

3d and 4d relation

- In 4d, there is a chiral anomaly, but not for 3d.
- Additional U(1) symmetry in 3d
- In order to connect 3d and 4d, we need to introduce effects breaking the U(1) symmetry.
- On $S^1 \times R^3$, additional instantons (known as KK-monopole or twisted instanton) should be included.
- KK-monopole only contains 2 gaugino zero-modes, which leads to

$$\Delta W = \eta Z$$

Back to the 4d

Up lift into 4d

CBs should be integrated out since they are gauge fields.
One must include effects from $S^1 \times R^3$ (KK-monopole).

$$\Delta W = \eta Z$$

$$W_{N_S=5} = Z \left(\det M_{SS} - B_S^i B_S^j M_{SS,ij} \right) + \eta Z$$



Integrating out Z

$$\det M_{SS} - B_S^2 M_{SS} + \eta = 0$$

4d constraint [Pouliot '95]

$$\frac{\partial W}{\partial Z} = 0$$

3d and 4d relation

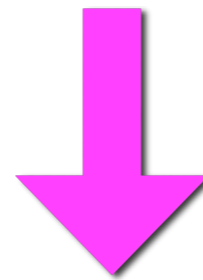
Up lift to 4d: (Nf, Ns)=(4, 1) case

CB is integrated out.

One must include effects from $S^1 \times R^3$ (KK - monopole)

$$W_{S^1 \times R^3} = \eta Z \sim \eta Y_{spin} M_{SS} \quad \eta : \text{dynamical scale of 4d gauge coupling}$$

$$W = Y_{spin} [M_{SS}^2 \det M_{QQ} + P^2 M_{QQ} - R^2] + \eta Y_{spin} M_{SS}$$



Integrating-out Y (4d limit)

$$M_{SS}^2 \det M_{QQ} + P^2 M_{QQ} - R^2 + \eta M_{SS} = 0$$

4d quantum constraint

[P. Cho '97]

Summary & Discussion

- We studied 3d $N=2$ SUSY Spin(7) gauge theory and found some s-confining phases whose CB is 1 or 2 dimensional.
- The most of the CB is lifted and it depends on the matter contents, which could be different from the semiclassical picture in general.
- 3d & 4d are connected via KK-monopole.
- Spin(N) ($7 < N < 15$) is possible but not so straightforward.
- For larger Ns, we expect some Seiberg dual description but now not known. The dual would be $U(F-4)$ with symmetric matters.

Thank you for your attention.

“Superconformal Index”

- counting BPS states with weights
- partition function on $S^1 \times S^2$

$$I = \text{Tr} \left[(-1)^F e^{-\beta\{Q,S\}} x^{R+2j_3} t^F \right]$$

Fermion number spin(3) rotation of S^2

scalar BPS state (operator) with R-charge r & global $U(1)$ charge q

$$x^r t^q$$

- We can compute this quantity by employing a localization technique.

SCI in Spin(7) Ns=5

The theory is s-confining.

	$SU(5)$	$U(1)_S$	$U(1)_R$
$M_{SS} := SS$	$\square\square$	2	$2R_S = \frac{1}{4}$
$B_S := S^4$	$\bar{\square}$	4	$4R_S = \frac{1}{2}$
$Z := Y_1 Y_2^2 Y_3$	1	-10	$2 - 10R_S = \frac{3}{4}$

$$R_S = \frac{1}{8}$$

$$15u^2 x^{1/4}$$

$$5u^4 x^{1/2}$$

$$x^{3/4}$$

$$\frac{1}{u^{10}}$$

M_{SS} $15 \times 15|_{\text{symmetric}} = 120$ Z ZM_{SS}
 \downarrow $\downarrow M_{SS}^2 + B_S$ \swarrow \downarrow

$$\begin{aligned}
 & 1 + 15u^2 x^{1/4} + 125u^4 \sqrt{x} + \left(\frac{1}{u^{10}} + 755u^6\right) x^{3/4} + \left(3675u^8 + \frac{15}{u^8}\right) x + \left(15252u^{10} + \frac{125}{u^6}\right) x^{5/4} \\
 & + \left(\frac{1}{u^{20}} + 55880u^{12} + \frac{750}{u^4}\right) x^{3/2} + 5 \left(37004u^{14} + \frac{717}{u^2} + \frac{3}{u^{18}}\right) x^{7/4} + \left(562985u^{16} + \frac{125}{u^{16}} + 14402\right) x^2 \\
 & + \left(\frac{1}{u^{30}} + 1594185u^{18} + \frac{750}{u^{14}} + 50245u^2\right) x^{9/4} + \left(4241879u^{20} + 155550u^4 + \frac{3585}{u^{12}} + \frac{15}{u^{28}}\right) x^{5/2} \\
 & + \left(10688125u^{22} + 433550u^6 + \frac{14403}{u^{10}} + \frac{125}{u^{26}}\right) x^{11/4} + \left(\frac{1}{u^{40}} + 25661515u^{24} + \frac{750}{u^{24}} + 1097955u^8 + \frac{50270}{u^8}\right) x^3 + \dots
 \end{aligned}$$

One can also derive the same index from M_{SS} , B_S , Z

SCI in Spin(7) (Nf,Ns)=(4,1)

	$SU(4)_Q$	$U(1)_Q$	$U(1)_S$	$U(1)_R$	
$M_{QQ} := QQ$	$\square\square$	2	0	$2R_f$	$10t^2 x^{1/4}$
$M_{SS} := SS$	1	0	2	$2R_S$	$u^2 x^{1/4}$
$P := SQ^3S$	$\bar{\square}$	3	2	$3R_f + 2R_S$	$4t^3 u^2 x^{5/8}$
$R := SQ^4S$	1	4	2	$4R_f + 2R_S$	$t^4 u^2 x^{3/4}$
$Y_{spin} := Y_1^2 Y_2^2 Y_3$	1	-8	-4	$-10 - 8(R_f - 1) - 4(R_S - 1)$	$\frac{x^{1/2}}{t^8 u^4}$

The theory is dual to a non-gauge theory with M_{QQ}, M_{SS}, P, R and Y_{spin}

$Y_{spin} M_{SS}$

$$\begin{aligned}
 & 1 + x^{1/4} (10t^2 + u^2) + \sqrt{x} \left(\frac{1}{t^8 u^4} + 55t^4 + 10t^2 u^2 + u^4 \right) + 4t^3 u^2 x^{5/8} \\
 & + x^{3/4} \left(220t^6 + 56t^4 u^2 + 10t^2 u^4 + \frac{10t^2 + u^2}{t^8 u^4} + u^6 \right) + 4t^3 u^2 x^{7/8} (10t^2 + u^2) \\
 & + x \left(\frac{1}{t^{16} u^8} + 715t^8 + \frac{1}{t^8} + 230t^6 u^2 + \frac{10}{t^6 u^2} + 56t^4 u^4 + \frac{55}{t^4 u^4} + 10t^2 u^6 + u^8 \right) \\
 & + 4t^3 u^2 x^{9/8} \left(\frac{1}{t^8 u^4} + 55t^4 + 10t^2 u^2 + u^4 \right) \\
 & + x^{5/4} \left(\frac{1}{t^{16} u^6} + \frac{10}{t^{14} u^8} + 2002t^{10} + 770t^8 u^2 + \frac{u^2}{t^8} + 240t^6 u^4 + \frac{10}{t^6} + 56t^4 u^6 + \frac{55}{t^4 u^2} + 10t^2 u^8 + \frac{220}{t^2 u^4} + u^{10} \right) + \dots,
 \end{aligned}$$