

Exploring 5D Supersymmetric RG Flows

(Work in progress with T.Dumitrescu, G.Festuccia, L.Ruggeri)

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Motivation

- Discovery of 5D and 6D SCFTs is a groundbreaking result emerging from String Theory [Witten; Strominger; Seiberg]
- In many aspects, these theories challenge our current understanding of Quantum Field Theory
- Important information can be obtained by analyzing supersymmetric protected quantities.

5D Supersymmetry

Three roads to five-dimensional UV superconformal fixed points:

- ▶ Field Theory Analysis [Seiberg+Intriligator-Morrison]
- ▶ M-theory on Calabi-Yau 3-fold [Morrison-Seiberg, Douglas-Katz-Vafa]
- ▶ Webs of (p,q)-fivebranes [Aharony-Hanany-Kol]

Recently, new advances in the program of geometric classification
[Del Zotto-Heckman-Morrison] [Jefferson-Katz-Kim-Vafa]

IR description given by a 5D $\mathcal{N} = 1$ quantum field theory:

- Collection of 5D vector multiplets with gauge algebra G and hypermultiplets in representation \mathbf{R}
- Vector multiplets in 5D have real scalars ϕ^i , at a generic point on the Coulomb branch the gauge group is broken to $U(1)^r$ with $r=\text{rank}(G)$.

5D Supersymmetry

A 5D vector multiplet on a circle reduces to a 4D $\mathcal{N} = 2$ vector multiplet:



low-energy dynamics on the Coulomb branch can be analyzed with a 5D prepotential:

$$\mathcal{F} = \frac{1}{2g^2} h_{ij} \phi^i \phi^j + \frac{k}{6} d_{ijk} \phi^i \phi^j \phi^k + \frac{1}{12} \left(\sum_{e \in \text{Root}} |e \cdot \phi|^2 + \sum_j \sum_{w \in \mathbf{R}_j} |w \cdot \phi + m_j|^3 \right)$$

With (h,d) given in terms of generators of G and \mathbf{R}_j weights for hypers. Leads to a renormalized effective gauge coupling:

$$t(\phi)_{ij} = \frac{1}{(g_{\text{eff}}^2)_{ij}} = \partial_i \partial_j \mathcal{F}$$

5D Supersymmetry

Example: A 5D SU(2) gauge theory with N_f quarks has:

$$t(\phi) = t_0 + 16\phi - \sum_{i=1}^{N_f} |\phi - m_i| - \sum_{i=1}^{N_f} |\phi + m_i|$$

For $m_i = 0$, when $N_f \leq 7$, the limit $t_0 \rightarrow 0$ is well defined:

$$t(\phi) = (16 - 2N_f)\phi \longrightarrow \begin{array}{l} \text{5D UV fixed points with} \\ \text{enhanced } E_{N_f+1} \text{ global symmetry} \end{array}$$

Goal: Describe other protected quantities that can be used to explore the 5D RG flow

Supersymmetric Indices

A natural class of SUSY indices are defined by partition functions on manifolds with topology $\mathcal{M} = S^{D-1} \times S^1$:

- ▶ Count supersymmetric states on $S^{D-1} \times \mathbb{R}_{\text{time}}$,
 - ▶ In a CFT, equivalent to a counting of BPS local operators in flat space.
- [Kinney-Maldacena-Minwalla-Raju]
[Romelsberger]

A proper definition of non-conformal supersymmetric index is often possible but more subtle:

- ▶ How to preserve SUSY?
- ▶ New parameters induced by curved background?
- ▶ What does the index depend on?

Non-conformal indices are extremely important for analyzing the RG flow.

Curved Space Supersymmetry

In a supersymmetric theory $T_{\mu\nu}$ sits in a multiplet together with its bosonic and fermionic partners $\mathcal{J}_B^i, \mathcal{J}_F^i$

$$\Delta\mathcal{L} = \Delta g^{\mu\nu} T_{\mu\nu} + \sum_i (\mathcal{B}^i \mathcal{J}_B^i + \mathcal{F}^i \mathcal{J}_F^i) + \dots$$

Key point: $(g_{\mu\nu}, \mathcal{B}^i)$ should be promoted to elements of an off-shell supergravity multiplet

[Festuccia-Seiberg]

Set $\mathcal{F}^i = 0$, a supercharge Q exists if:

$$\delta_Q \mathcal{F}^i = 0 \quad \longrightarrow \quad \nabla_\mu \zeta + M(g_{\mu\nu}, \mathcal{B}^i) \zeta = 0$$

Solutions to these equations characterize the supersymmetric background $(g_{\mu\nu}, \mathcal{B}^i)$

Previous Attempts

Two known proposals for a $\mathcal{N} = 1$ non-conformal theory on $S^4 \times S^1$:

1. Use classical SUSY 5D CS conformal invariant action. Not valid for $Sp(N)$ gauge groups
 - A Q-exact term can be constructed and localization procedure applied successfully [Kim-Kim-Lee]
2. Use a 5D SYM-like theory with a position dependent coupling
 - “We should think the theory has infinite coupling constant” [Terashima]

Localization seems very powerful for studying 5D superconformal index properties. We would like to have a better description of the non-conformal theory.

Non-Conformal $\mathcal{N}=1$ Index

Any 5D $\mathcal{N} = 1$ SCFT can be conformally mapped to a cylinder $S^4 \times \mathbb{R}$:

Idea: Look for a subalgebra of the 5d $\mathcal{N} = 1$ SCA $F(4)$ that does not involve any conformal transformation on $S^4 \times \mathbb{R}$

Largest superalgebra possibly realized is $\mathfrak{su}(2|1) \subset F(4)$:

$$\{Q^+_{\alpha}, Q^{-\beta}\} = 4\delta_{\alpha}^{\beta}(H - R) + 4M_{\alpha}^{\beta}$$

- ▶ $SU(2)_r \times SU(2)_l$ subgroup of $SO(5)$ with generators $M_{\alpha}^{\beta}, \widetilde{M}^{\dot{\alpha}}_{\dot{\beta}}$
- ▶ $Q^+_{\alpha}, Q^{-\beta}$ are $SU(2)_r$ invariant supercharges
- ▶ H , translations along cylinder
- ▶ R , Cartan of $SU(2)_R$, R-symmetry is broken to $U(1)$

Curved Background

Look for a supergravity background which realizes the $\mathfrak{su}(2|1)$ SUSY algebra.

All 5D theories with SU(2) R-symmetry have a “Sonnius Multiplet”:

$$(C, \psi_{\alpha}^i, X^{ij}, W_{\mu\nu}, R_{\mu}^{ij}, S_{\mu\alpha}^i, J_{\mu}, T_{\mu\nu})$$

Background fields are part of an [off-shell supergravity multiplet](#):

$$\begin{aligned} \Delta\mathcal{L} = & \Delta g^{\mu\nu} T_{\mu\nu} + \mathcal{A}^{\mu} J_{\mu} + (V^{\mu})^{ij} R_{\mu ij} + \mathcal{V}^{\mu\nu} W_{\mu\nu} \\ & + t^{ij} X_{ij} + \mathcal{J}_C C + (\text{fermions}) \end{aligned}$$

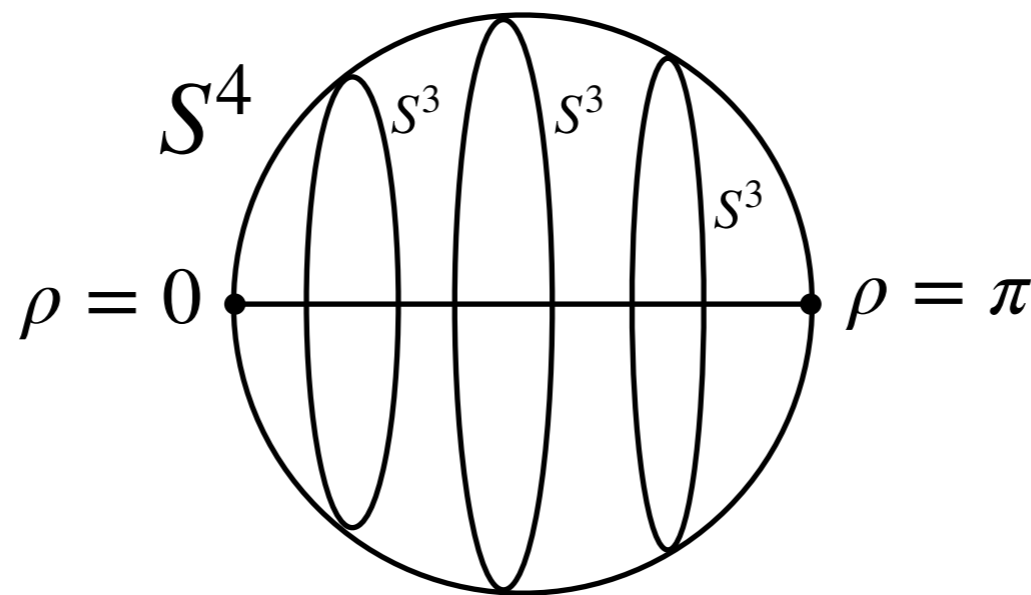
Setting the variation of supergravity fermions to zero gives:

$$\begin{aligned} \nabla_{\mu} \zeta_i = & t_i^j \Gamma_{\mu} \zeta_j + \frac{1}{2} \mathcal{F}_{\mu\nu} \Gamma^{\nu} \zeta_i + \frac{1}{2} \mathcal{P}^{\nu\rho} \Gamma_{\mu\nu\rho} \zeta_i & \mathcal{F} = d\mathcal{A} \\ & & \mathcal{P} \equiv \mathcal{V} - \mathcal{F} \end{aligned}$$

Curved Background

There is a solution for a 4-sphere of radius ℓ with round metric:

$$ds^2 = -dt^2 + \ell^2(d\rho^2 + \sin^2(\rho)d\Omega_3) \quad 0 \leq \rho \leq \pi$$



Background fields:

$$\mathcal{A} = -\frac{1}{2} \cos \rho dt \quad t = \frac{1}{2\ell} \cos \rho (\sigma_3) \quad V = \frac{i}{2\ell} (\sigma_3) dt \quad \mathcal{P} = 0$$

Comments

- A peculiar feature of this background is that \mathcal{A} and t introduce position dependent couplings.
- It is only possible to realize the $\mathfrak{su}(2|1)$ SUSY algebra with 4 curved supercharges
- The most refined index we can define is:

$$I_{(5D)} = \text{Tr}_{\mathcal{H}} (-1)^F e^{-(\beta\Delta + \gamma_1 H + \gamma_2 \widetilde{\mathcal{M}}^i_i + i \sum_i F_i m_i)} \mathfrak{q}^k$$

- ▶ $\Delta = \{Q_1^+, Q^{-1}\}$
 - ▶ F_i Cartan generators for global symmetries
 - ▶ k Instanton Charge
- For a conformal theory this agrees with 5D SCl of [\[Kim-Kim-Lee\]](#)

Non-Decoupling

Usually we do not expect the index to depend on continuous parameters. Consider a free hypermultiplet of mass m :

For $m \rightarrow \infty$ we expect a fully gapped IR theory with trivial index $I_{(5D)} = 1$.

Position dependent couplings introduce a new phenomenon:

Hypermultiplet mass becomes a function $m^2(\rho)$ of latitude angle on S^4 , at both poles there are localized states with $E \sim 1/\ell$

Schur Background

- Reducing along the Hopf fiber of S^4 gives a supergravity background for a 4D $\mathcal{N} = 2$ QFT on $S^3 \times \mathbb{R}$ known as “Schur Background” [SCFT Schur index: Gadde-Rastelli-Razamat-Yan]
- Using this background it is possible to define consistently the Schur index I_{Schur} for a non-conformal 4D $\mathcal{N} = 2$ theory. [Dumitrescu-Festuccia]
- There is an intriguing relation between I_{Schur} and the spectrum of BPS particles on the Coulomb branch. [Cordova-Shao + Gaiotto]
- The 5D IR index contains information about spectrum of 5D BPS particles. Connection with [Iqbal-Vafa]

Applications

- 5D theories have operators charged under the conserved topological current $J_{Top} \sim * Tr(F \wedge F)$ called “Instanton Operators”
- Instanton operators participate in UV global symmetry enhancement. [Tachikawa]
- New 5D Index background allows for various instanton configurations. A new way to understand results of [Tachikawa]
- We can easily generalize to new 5D squashed backgrounds with a generic bounded function $f(\rho)$. Also possible to add BPS line defects and study their IR \longrightarrow UV correspondence.

Conclusions

- We presented a new $S^4 \times S^1$ index $I_{(5D)}$ which is well defined for non-conformal 5D $\mathcal{N} = 1$ theories.
- Background fields make 5D IR dynamics much more rich.
- The index can be used to shed light on 5D SUSY RG flows by analyzing BPS particles and instanton operators.