

# Two dimensional $\mathcal{N} = (0, 2)$ theories and Calabi-Yau 4-algebras

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# Outline:

Following orders:

- Supersymmetric Theories
- Dualities
- Deformations

As the title indicates, the supersymmetric theories will be 2d  $\mathcal{N} = (0, 2)$  theories obtained from D1-branes at CY-4 singularities.

# Motivation

After considering,

- D3-branes at CY singularities,
- M2-branes at CY singularities,

the next logical step would be

- D1-branes at CY singularities.

## But that last slide wasn't so motivating . . .

More seriously, considering branes at singularities has taught us much about holography.

“All happy families are alike; each unhappy family is unhappy in its own way.”

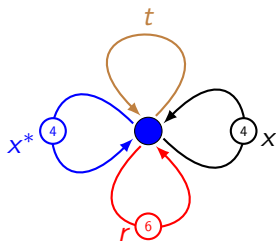
Each brane has its own idiosyncrasies.

In particular 3D theories taught us much about monopole operators and the Coulomb branch.

2D  $\mathcal{N} = (0, 2)$  theories have a reputation for being difficult, but the ones arising from D1 branes at singularities seem to be well under control.

## Renewed interest in $(0, 2)$

- Elliptic genus from supersymmetric localization [F. Benini, R. E. , K. Hori, Y. Tachikawa] [A. Gadde, S. Gukov]
- Brane brick models [S. Franco, S. Lee, R. Seong]
- F-theory constructions [S. Schafer-Nameki, T. Weigand]

$\mathcal{N} = (8, 8)$  SYM in 2DFigure: dg quiver corresponding to  $\mathbb{C}^4$

$\mathcal{N} = (0, 2)$  superspace

We introduce  $\mathcal{N} = (0, 2)$  superspace to construct manifestly supersymmetric Lagrangians. The bosonic coordinates are  $y^\alpha$ ,  $\alpha = 1, 2$  and fermionic coordinates are  $\theta^+$ ,  $\bar{\theta}^+$ . The two supersymmetry generators are

$$Q_+ = \frac{\partial}{\partial \theta^+} + i\bar{\theta} \left( \frac{\partial}{\partial y^0} + \frac{\partial}{\partial y^1} \right) \quad (1.1)$$

$$\bar{Q}_+ = \frac{\partial}{\partial \bar{\theta}^+} - i\theta \left( \frac{\partial}{\partial y^0} + \frac{\partial}{\partial y^1} \right). \quad (1.2)$$

The supersymmetry generators commute with the super derivatives

$$D_+ = \frac{\partial}{\partial \theta^+} - i\bar{\theta} \left( \frac{\partial}{\partial y^0} + \frac{\partial}{\partial y^1} \right) \quad (1.3)$$

$$\bar{D}_+ = \frac{\partial}{\partial \bar{\theta}^+} + i\theta \left( \frac{\partial}{\partial y^0} + \frac{\partial}{\partial y^1} \right). \quad (1.4)$$

$\mathcal{N} = (0, 2)$  theories

There are two types of matter multiplets which we now introduce. The first matter field we consider is the  $(0, 2)$  chiral multiplet  $\Phi$  which obeys

$$\bar{\mathcal{D}}_+ \Phi = 0$$

and has components

$$\Phi = \phi + \sqrt{2}\theta^+ \psi_+ - i\theta^+ \bar{\theta}^+ (D_0 + D_1)\phi.$$

The Fermi multiplet  $\Lambda_-$  satisfies

$$\bar{\mathcal{D}}_+ \Lambda_- = \sqrt{2}E$$

where  $E$  is a superfield obeying

$$\bar{\mathcal{D}}_+ E = 0.$$



# Interactions

We will only need to consider the case where  $E = E(\Phi_i)$  is a holomorphic function of some chiral superfields  $\Phi_i$ . In addition to the  $E$ -interaction, we can introduce a  $J$ -interaction using the  $(0, 2)$  analog of the superpotential:

$$L_J = \int d^2y d\theta^+ \Lambda_{-,a} J^a(\Phi_i)|_{\bar{\theta}^+ = 0}.$$

For this term to be supersymmetric, the following constraint must be satisfied:

$$\sum_a E_a(\Phi_i) J^a(\Phi_i) = 0.$$

The  $E$  and  $J$ -interactions can be exchanged by replacing the Fermi multiplet  $\Lambda_-$  with its conjugate  $\bar{\Lambda}_-$ .

# Calabi-Yau algebras in physics

- Quivers have been intensely studied in the context of D-branes
- A particular feature of D3-branes at a Calabi-Yau threefold singularity is that all of the relations come from a single function known as the superpotential.
- A form of Serre duality results in the quiver with potential having a self-dual resolution as a non-commutative algebra [Berenstein-Douglas].
- This led to the notion of a 3-Calabi Yau algebra in [van den Bergh] and to Calabi-Yau  $n$ -algebras in Ginzburg.
- We introduce the structure of Calabi-Yau 4-algebras following [Lam].

# Calabi-Yau 4 algebras from geometry

Given a (Gorenstein) CY4 singularity, we can always construct a CY-4 algebra from a NCCR. Fortunately these have been well studied by mathematicians. Physically, we obtain a NCCR from a collection of branes satisfying the “Grade-Restriction Rule” [abelian – Hori-Herbst-Page '08, non-abelian – R.E.-Hori-Knapp-Romo '18].

This can be used to rederive the theory of brane tilings [RE arXiv:1003.2862] and its higher dimensional generalization needed for CY 4 singularities. Also one of the first hints of cluster algebras and integrable systems.

## Calabi-Yau 4-algebras from quivers

A quiver  $Q$  can be described as a collection of vertices  $Q_0$ , arrows  $Q_1$  and maps  $h : Q_1 \rightarrow Q_0$  and  $t : Q_1 \rightarrow Q_0$  called the “head” and “tail” of an arrow. A Calabi-Yau 4-algebra consists of the following data.

- ① A quiver  $Q = (Q_0, Q_1, h : Q_1 \rightarrow Q_0, t : Q_1 \rightarrow Q_0)$ .
- ② A map  $A : Q_1 \rightarrow \mathbb{C}Q$  such that  $A_r := A(r) \in e_{h(r)}\mathbb{C}Qe_{t(r)}$ .
- ③ A symmetric function  $q : Q_1 \times Q_1 \rightarrow \mathbb{C}$  such that
  - ①  $q(r, s) = 0$  unless  $r$  and  $s$  have the same underlying edge are oppositely oriented. That is to say,  $h(r) = t(s)$  and  $h(s) = t(r)$ .
  - ②  $q$  is nondegenerate – meaning that the matrix  $q(r, s)$  is invertible.
  - ③  $\sum_{r, s \in Q_1} q(r, s)A_r A_s = 0 \pmod{[\mathbb{C}Q, \mathbb{C}Q]}$ .

Remarkably, this can be precisely translated into the structure of a  $(0, 2)$  theory.

# $(0, 2)$ theories from Calabi-Yau 4 algebras

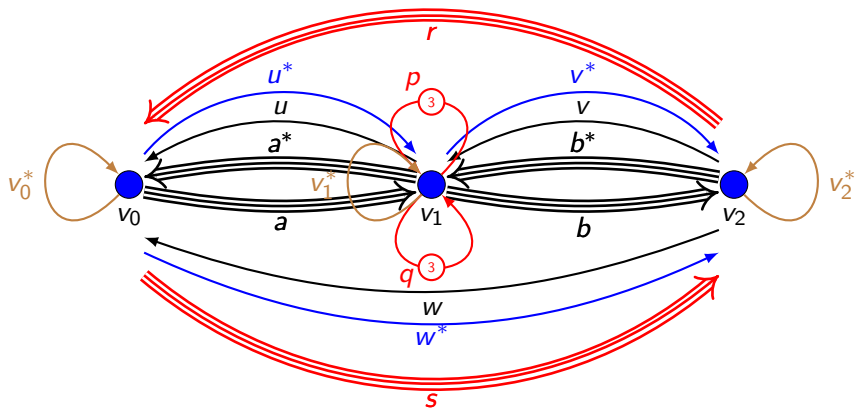
Essentially, the map  $A : Q_1 \rightarrow \mathbb{C}Q$  such that  $A_r := A(r) \in e_{h(r)}\mathbb{C}Qe_{t(r)}$  specifies both the  $J$  and  $E$  terms. Furthermore the condition

$$\sum_{r,s \in Q_1} q(r,s)A_r A_s = 0 \quad \text{mod } [\mathbb{C}Q, \mathbb{C}Q]$$

is precisely the constraint that

$$\sum_a E_a(\Phi_i) J^a(\Phi_i) = 0.$$

$$\mathcal{O}_{\mathbb{P}^2}(-1) \oplus \mathcal{O}_{\mathbb{P}^2}(-2) \rightarrow \mathbb{P}^2$$



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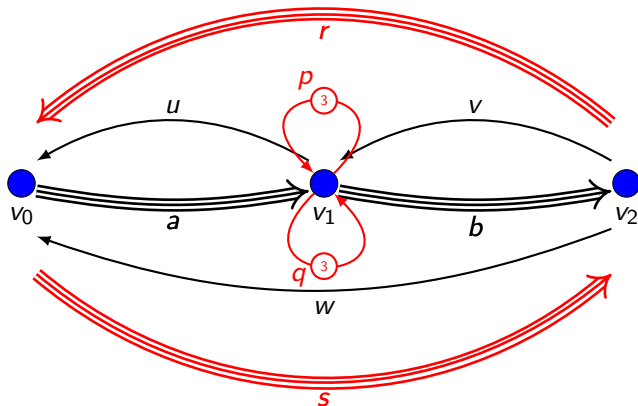


Figure: Underlying quiver corresponding to  $\mathcal{O}_{\mathbb{P}^2}(-1) \oplus \mathcal{O}_{\mathbb{P}^2}(-2) \rightarrow \mathbb{P}^2$

## Dualities

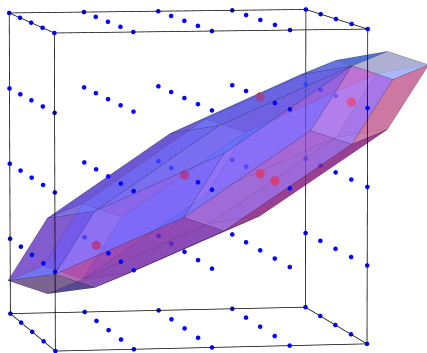


Figure: Translations  $\leftrightarrow$  Dualities, From [R.E., S. Franco arXiv:1112.1132]



# Previous applications of Calabi-Yau algebras

- Equivalence of A-maximization and volume minimization [Butti-Zaffaroni '05] [R.E. '10]
- Matching of superconformal index
- D3 branes – [R.E., J. Schmude, Y. Tachikawa] arXiv:1207.0573
- M2 branes – [R.E., J. Schmude arXiv:1305.3547]
- Matching of protected operators [R.E. arXiv:1510.04078]

Many of these results generalize to 2d theories!

## Motivation from Holography

## Gauge Theory

$$\mathbb{R}^{3,1} \times X_6$$

$N$  D3 branes

$X_6$  Calabi-Yau 6-manifold

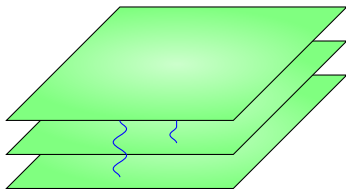


Figure:  $N$  D3-branes

## Gravity Theory

$$AdS_5 \times L_5$$

$N$  units of RR-flux

$L_5$  Sasaki-Einstein 5-manifold

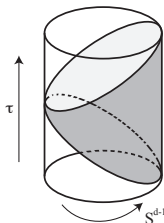


Figure: AdS Space-Time

## Goal: Match Closed String States in the large-N limit

## Gauge Theory

$$\mathbb{R}^{3,1} \times X_6$$

## Closed strings:

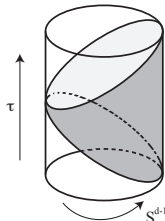
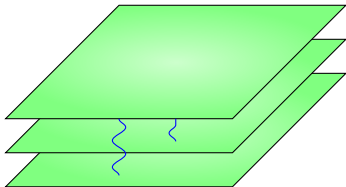
$$HC_\bullet(\mathbb{C}Q/\partial W)$$

## Gravity Theory

$$AdS_5 \times L_5$$

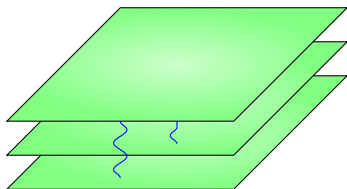
## Closed strings:

$$HP_\bullet(X, \pi = 0)$$



$\mathcal{N} = 4$  SYM

$N$  D3 branes filling  $\mathbb{R}^{1,3}$  in  $\mathbb{R}^{1,3} \times \mathbb{C}^3$ .



$\mathcal{N} = 4$  SYM has superpotential

$$W = \text{Tr}(XYZ - XZY)$$

where  $X, Y, Z$  are adjoint-valued chiral superfields.

## Superpotential algebra

$$\mathcal{A} = \mathbb{C}\langle x, y, z \rangle / (xy - yx, yz - zy, zx - xz) \cong \mathbb{C}[x, y, z]$$

Protected operators in  $\mathcal{N} = 4$  SYM

$\mathcal{N} = 4$  SYM has three adjoint chiral scalar superfields  $\Phi^1, \Phi^2, \Phi^3$ . Their interactions are described by the superpotential

$$W = \text{Tr} \Phi^1 [\Phi^2, \Phi^3].$$

Consider an operator of the form

$$\mathcal{O} = T^{z_1 z_2 \dots z_k} = \text{Tr} \Phi^{z_1} \Phi^{z_2} \dots \Phi^{z_k}.$$

If  $T^{z_1 z_2 \dots z_k}$  is symmetric in its indices, then the operator is in a short representation of the superconformal algebra. If  $T^{z_1 z_2 \dots z_k}$  is not symmetric, then the operator is a descendant, because the commutators  $[\Phi^{z_i}, \Phi^{z_j}]$  are derivatives of the superpotential  $W$  [Witten '98].

Matching protected operators in  $\mathcal{N} = 4$  SYM

Under the AdS/CFT dictionary, a scalar excitation  $\Phi$  in AdS obeying

$$(\square_{AdS_5} - m^2)\Phi = 0$$

with asymptotics  $\rho^{-\Delta}$  near the boundary of AdS ( $\rho \rightarrow \infty$ ) is dual to an operator of scaling dimension

$$m^2 = \Delta(\Delta - d) \rightarrow \Delta_{\pm} = \frac{d}{2} \pm \sqrt{\frac{d^2}{4} + m^2}$$

Matching protected operators in  $\mathcal{N} = 4$  SYM

The operator

$$\mathcal{O} = \text{Tr} \Phi^{Z_1} \Phi^{Z_2} \dots \Phi^{Z_k}$$

has conformal dimension  $k$  and is dual to a supergravity state of spin zero and mass

$$m^2 = k(k - 4).$$

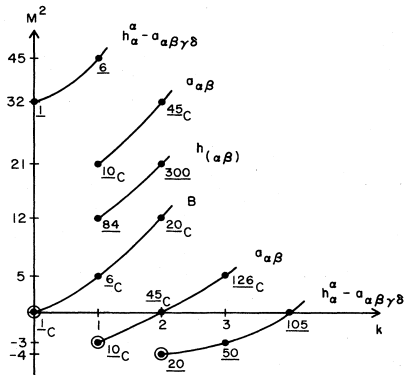


FIG. 2. Mass spectrum of scalars.

# Goal: Test AdS/CFT by small deformations

$\mathcal{N} = 4$  SYM has superpotential

$$W = \text{Tr}(XYZ - XZY).$$

What happens when we deform it by giving a mass to one of the scalars

$$W = \text{Tr}(XYZ - XZY + mZ^2)$$

or deform the coupling constants?

$$W = \text{Tr}(qXYZ - q^{-1}XZY)$$

Can we still match the spectrum of protected operators?



Operators in  $\mathcal{N} = 4$  Super Yang-Mills

For  $X = \mathbb{C}^3$ ,  $L^5 = S^5$ . The corresponding gauge theory is  $\mathcal{N} = 4$  SYM, whose superpotential algebra is

$$\mathcal{A} = \mathbb{C}\langle x, y, z \rangle / (xy - yx, yz - zy, zx - xz) \cong \mathbb{C}[x, y, z]$$

	1	$t^2$	$t^4$	$t^6$	$t^8$	$t^{10}$	$t^{12}$	...
$HC_0$	1	3	6	10	15	21	28	...
$HC_1$	0	0	3	8	15	24	35	...
$HC_2$	0	0	0	1	3	6	10	...
$\mathcal{I}(t)$	1	3	3	3	3	3	3	...

Table: Cyclic homology group dimensions for  $\mathcal{N} = 4$  SYM

Elements  $\mathcal{O} \in HC_0(\mathcal{A}) = \mathcal{A}/[\mathcal{A}, \mathcal{A}]$  are of the form

$$\mathcal{O} = \text{Tr } x^i y^j z^k, \quad i, j, k \in \mathbb{N}_{\geq 0}$$

# The $\beta$ -deformation

The  $\beta$ -deformation of  $\mathcal{N} = 4$  super Yang-Mills theory is a quiver gauge theory with potential  $W = qxyz - q^{-1}xzy$  where  $q = e^{i\beta}$ . The F-term relations are

$$xy = q^{-2}yx$$

$$yz = q^{-2}zy$$

$$zx = q^{-2}xz$$

The cyclic homology groups were computed by Nuss and Van den Bergh.

Chiral Primaries in the  $\beta$ -deformation

Consider an operator  $\mathcal{O} = \text{Tr } l_1 l_2 \dots l_n$ , where  $l_i$  is one of the letters  $x, y$ , or  $z$ . Suppose that  $l_1$  is an  $x$ . The F-term conditions imply that

$$\mathcal{O} = \text{Tr } l_1 l_2 \dots l_{n-1} l_n = q^{2(|z|-|y|)} \text{Tr } l_n l_1 l_2 \dots l_{n-1},$$

where  $|x|$ ,  $|y|$ , and  $|z|$  are the total number of  $x$ 's,  $y$ 's, and  $z$ 's in the operator  $\mathcal{O}$ . Thus the single-trace chiral primaries have charges  $(k, 0, 0)$ ,  $(0, k, 0)$ ,  $(0, k, 0)$ ,  $(k, k, k)$  [D. Berenstein, V. Jejjala, R. G. Leigh].<sup>1</sup> For  $q$  a  $k$ -th root of unity, the cyclic homology groups jump.

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<sup>1</sup>For  $G = SU(N)$  there are additional chiral primaries  $\text{Tr } xy$ ,  $\text{Tr } xz$  and  $\text{Tr } yz$ . This agrees with the perturbative one-loop spectrum of chiral operators found in [D. Z. Freedman, U. Gursoy].

Operators in the  $\beta$ -deformation

Cyclic homology gives a prediction for the spectrum of protected operators in the  $\beta$ -deformation. The corresponding gravity solution was found by Lunin and Maldacena.

	1	$t^2$	$t^4$	$t^6$	$t^8$	$t^{10}$	$t^{12}$	...
$HC_0$	1	3	3	4	3	3	4	...
$HC_1$	0	0	0	2	0	0	2	...
$HC_2$	0	0	0	1	0	0	1	...
$\mathcal{I}(t)$	1	3	3	3	3	3	3	...

Table: Cyclic homology group dimensions for the  $\beta$ -deformation

# Massive Deformation

After adding a mass deformation  $\Delta\mathcal{L} = \text{Tr } mz^2$ , to  $\mathcal{N} = 4$  super Yang-Mills, the superpotential is  $W = xyz - xzy + mz^2$ . Since  $z$  is massive, it can be integrated out of the Lagrangian using its equations of motion. The result is  $W = \frac{1}{m}[x, y]^2$ . Both superpotential algebras are Morita equivalent and have the same  $\mathcal{Q}$  cohomology groups. The F-term relations are

$$[x, y] = z$$

$$[x, z] = 0$$

$$[y, z] = 0.$$

## Massive Deformation II

The Q-cohomology for the massive deformation is

	1	$t^{3/2}$	$t^3$	$t^{9/2}$	$t^6$	$t^{15/2}$	$t^9$	...
$HC_0$	1	2	3	4	5	6	7	...
$HC_1$	0	0	0	2	3	4	5	...
$HC_2$	0	0	0	0	1	0	1	...
$\mathcal{I}(t)$	1	2	3	2	3	2	3	...

**Table:** Cyclic homology group dimensions for the massive deformation

We will compare these protected operators to the short representations in the KK-spectrum of the exact SUGRA solution found by Pilch and Warner.

# Pilch-Warner Solution

A new critical point of  $\mathcal{N} = 8$  gauge supergravity on  $AdS_5$  was discovered by Khavaev-Pilch-Warner. Pilch and Warner found the full type IIB supergravity solution.

$$ds_{10}^2 = \Delta^{-1} ds_{AdS_5}^2 + L^2 \Delta^1 ds_5^2(\rho, \chi)$$

$$ds_5^2(\rho, \chi) = (dx^I Q_{IJ}^{-1} dx^J) + \frac{\sinh^2 \chi}{\xi^2} (x^I J_{IJ} dx^J)^2$$

$\rho$  and  $\chi$  are critical points of the supergravity potential. For the Pilch-Warner critical point  $\rho = 2^{1/6}$ ,  $\chi = \frac{1}{2} \log 3$ . The warp-factor is

$$\Delta = \Omega^{-2}$$

where  $\Omega^2 = \xi \cosh \chi$ .

# Glueball spectrum

The KK-spectrum of glue balls is found by finding solutions of the warped-Laplacian

$$\mathcal{L} \equiv \frac{\Delta^{-1}}{\sqrt{-g_5}} \partial_\alpha \left( \sqrt{-g_5} \Delta^{-1} g^{\alpha\beta} \partial_\beta \right)$$

The short KK multiplets of the graviton exactly match the prediction from the second cyclic homology group.



## Further applications of cyclic homology

For CY-3 algebras

$$HC_j(\mathcal{A}) = 0 \text{ for } j > 2$$

This corresponds to the AdS dual theory having no particles of spin higher than 2.

$$HC_2(\mathcal{A}) = Z(\mathcal{A})$$

So the KK-spectrum of gravitons can be computed from the center of the superpotential algebra. For the Pilch-Warner solution, this has been checked explicitly.

# Final Remarks

## 2d gauge theories

Found a precise relationship between geometry and physics. Many exciting directions!

## Deformations

We have shown how to compare the protected fields on both sides of the AdS/CFT correspondence at large- $N$ .

- Further extension to finite  $N$  is possible, although the cyclic homology groups become much harder to compute.

Thank you for listening!